STATE OF	F WISCONSIN,	
	PLAINTIFF,	MOTION HEARING
rs.		Case No. 06 CF 88
BRENDAN	R. DASSEY,	
	DEFENDANT.	
ATE:	MARCH 26, 2007	MAGRICINOC COURTY STATE OF VIRECUSTIN
BEFORE:	HON. JEROME L. FOX Circuit Court Judge	APR 3 2007
PPEARAN	ICES:	CLERK OF CIRCUIT COURT
	KENNETH R. KRATZ Special Prosecutor	· 영화는 등실 등급한 · 전문인 · 전문인 요즘으로 다 있는 것을 수도 통합 중
	On behalf of the State	of Wisconsin.
	THOMAS J. FALLON Special Prosecutor On behalf of the State	of Wisconsin.
	NORMAN A. GAHN	
	Special Prosecutor On behalf of the State	of Wisconsin.
	MARK R. FREMGEN Attorney at Law	dant
	On behalf of the Defend	uant.
	RAYMOND L. EDELSTEIN Attorney at Law On behalf of the Defend	dant.
	BRENDAN R. DASSEY	
	Defendant Appeared in person.	
	L L	

TRANSCRIPT OF PROCEEDINGS Reported by Jennifer K. Hau, RPR Official Court Reporter

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THE COURT: This is the matter of the State 1 of Wisconsin vs. Brendan Dassey, Case No. 06 CF 88. 2 3 Appearances, please. ATTORNEY KRATZ: The State appears by Calumet County District Attorney Ken Kratz, 5 Assistant Attorney General Tom Fallon, Assistant 6 District Attorney Norm Gahn, all appearing as 7 special prosecutors. 8 THE COURT: We are here this afternoon on a 9 motion in limine --10 11 ATTORNEY FREMGEN: Judge, uh, Attorneys 12 Mark Fremgen --13 THE COURT: Oh, I'm sorry. ATTORNEY FREMGEN: That's fine. We're very 14 15 forgettable. Attorneys Mark Fremgen and Raymond 16 Edelstein on behalf of Brendan Dassey, who also 17 appears in person. 18 THE COURT: You're not forgettable, you 19 just have to be faster. 20 ATTORNEY FREMGEN: I'll remember that. 21 THE COURT: All right. We're here this 22 afternoon on a -- a motion in limine, uh, brought 23 by the defense seeking to ultimately have admitted, uh, expert testimony on the statements 24

made by the defendant, Brendan Dassey, and

previously found by this Court to be voluntary.

Specifically, the defendant seeks to call a clinical psychologist, Dr. Robert Gordon, to offer an opinion on the psychological characteristics of the defendant as well as his age and intellectual development and whether these factors put him in an increased risk to potentially make a false confession.

The defendant has asked the Court to permit this testimony. He has done this by making an offer of proof. In this case, because of the limited availability of the special prosecutor, who was trying the Avery matter, and Dr. Gordon, who will be out of the state for several weeks, the defense provided the Court and the special prosecutor with a five-page written report and an approximate three-hour direct examination of Dr. Gordon on DVD in which Dr. Gordon discusses the methods he used and the findings he made in this case.

Today, the special prosecutor has an opportunity to examine Dr. Gordon. The Court will determine whether Dr. Gordon's qualifications in conjunction with his proposed testimony, will assist the jury in trying this

1 case. Under Wisconsin law, expert testimony is 2 admissible if, number one, the witness is 3 qualified. That is, he is possessed of specialized knowledge, skilled training or education. 6 Two, the witness's testimony will assist the trier of fact. 8 9 And, three, the testimony is relevant. These preliminary questions of 10 competence and relevancy must be decided by this 11 12 Court. With that said, uh, Mr. Kratz, you wish 13 to examine Dr. Gordon? ATTORNEY KRATZ: I do. 14 15 ATTORNEY FREMGEN: Judge, just a few 16 procedural issues --17 THE COURT: Sure. 18 ATTORNEY FREMGEN: -- if I may. Uh, we 19 did provide to the Court and Mr. Kratz two DVDs 20 of our direct or our offer of proof and we'd ask 21 the Court consider or mark those as Exhibits 1 22 and 2 or Exhibit 1-A, 1-B for purposes of the 23 record.

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Um, and then we have -- In that DVD, there were some demonstrative exhibits we've

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condensed to more of a computer generi -generated exhibit and Mr. -- uh, Dr. Gordon will be testifying as to those, if Mr. Kratz has questions of those. And he'll bring to those to the stand as well, and I suppose we'll mark those

THE COURT: It -- the DVDs will be marked for purposes of this hearing as Exhibits 1 and 2. I'd ask you, Mr. Kratz -- I -- I can't tell you, obviously to -- to, uh -- with any great specificity -- to limit your examination, but I would hope you could do it within an hour. You may

ATTORNEY FREMGEN: Judge, we're the moving party. I suppose we'll be -- I'll move that Dr. Gordon testify at this time and, then, we'll waive any further direct at this point.

THE COURT: All right.

ATTORNEY FREMGEN: Dr. Gordon?

THE COURT: Come up here, Doctor, to be sworn, please.

THE CLERK: Please raise your right hand.

DR. ROBERT GORDON,

called as a witness herein, having been first duly

sworn, was examined and testified as follows:

THE CLERK: Please be seated. Please state

your name and spell your last name for the record.

THE WITNESS: Please bear with me just a

minute, please. My name is Robert H. Gordon,

G-o-r-d-o-n.

CROSS-EXAMINATION

BY ATTORNEY KRATZ:

- Q Dr. Gordon, good afternoon. My name is Ken Kratz. I'm special prosecutor in this case. I had the pleasure of watching your direct examination on DVD which, as I understand was, uh, taken sometime in March. I think it was March 12. Is that your recollection?
- A I recall it was close in time to that. I don't have the exact date, Mr. Kratz. I could look on my, uh, palm pilot if you like --
- O That's fine. I --
- 19 A -- to verify.
 - Q -- I -- I've seen the direct. The first series of questions I have of you, Doctor, is your experience in this particular area. That is, providing testimony regarding suggestibility or vulnerability to suggestibility. Let me ask you, in the state of Wisconsin, on how many occasions

- 1 have you testified in a trial setting that is
- before either a judge or a jury in a trial, uh,
- as to this particular issue? That is,
- 4 vulnerability to suggestibility?
- 5 A In a trial or an offer of proof as well?
- 6 Q I think -- Was my question not clear?
- 7 A No.
- 8 Q I asked in -- in a trial, how many times have you
- 9 testified?
- 10 A One time. Well, I -- one time to be exact. There
- may be more. I -- I don't keep record of that. I --
- 12 I should.
- 13 Q Well, if it was more than one, would that be
- something that you'd remember?
- 15 A Probably not, considering the number of cases I see
- in given year. And I've been doing this since 1976.
- 17 Q You've been testifying about suggestibility since
- 18 1976?
- 19 A No, that -- I cannot say. Probably, uh, since 1985,
- 20 | 1995. I really can't say, Counsel, with any --
- 21 | Q So sometime within that ten-year period, you've
- 22 been testifying about suggestibility. Is that
- 23 your testimony?
- 24 A I -- sometime within that period of time I have
- 25 testified once in a trial. I have conducted

- evaluations and also attended and -- presentations
 and given presentations regarding this. That's very
 much true.
 - Q Okay. Uh, do you remember in which county that testimony occurred in?

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- A I -- I don't know the name of the county. I know the city was Wausau. I -- I think that's Marathon County, if I'm not mistaken.
- Q It is. Are you aware, Dr. Gordon, of, um -- And I think in your direct you talked about the, uh, limitations on your opinions that -- that you offer. Um, that wasn't, uh, very artfully asked. Let me try again.

Uh, let's start with what you are not offering opinions on. As I understand, that you are unable or unwilling to offer an opinion as to whether any statement, uh, in this case the statement by Mr. Dassey, was true or whether it was not true; is that correct?

- A That's very correct. It would be unethical for me to do that and I would seriously doubt whether the Judge would even let me do that even if I so chose to do that.
- Q Do you know the reason for that? I mean, I understand that you have a forensic background,

meaning the intersection of the, uh, field of,

uh, psychology with that of law. Uh, do you know

why you aren't allowed to testify or comment on

the credibility of any other witness?

- A I'm not a human lie detector, and I don't invade the province of the trier of fact when they need to consider very weighty questions regardless of whether it's this matter or a fitness trial or NGI or whatever the case may be.
- 10 Q All right. You indicated in your direct
 11 examination that you performed a battery of
 12 tests, uh, pointing you to the ultimate
 13 conclusion that Mr. Dassey, uh, was, uh,
 14 substantially more vulnerable to suggestibility.
 15 Um, do I understand your opinion to -- that that
 16 was your opinion?
 - A That was one of my opinions, yes.

- Q The other opinion had to do with the, um -- or taking the next step or the next leap, if you will, uh, that that somehow, necessarily, means that Mr. Dassey, uh, is also more prone or more apt to give a false confession rather than just a confession. Was that also your opinion?
- A He's more apt to give a confession. If one's more apt to give a confession, it's, therefore, more

1 likely to give true confessions as well as false
2 confessions.

- Q And that brings me to the point of why you might be asked to testify and what the jury might gain from your testimony. You understand that you'll only be allowed in this case to testify if you actually add something to the equation? That is, if you assist the trier of fact. You understand that?
 - A I -- my -- my role would be to make a confusing matter less confusing. And if I'm not able to do that, then my role is not in this chair.
 - All right. And, so, if your opinion -- And let me just ask you. Is your opinion that Brendan was more susceptible or more vulnerable to making a -- any kind of confession in this case given the constellation of not only his IQ but his personality traits?
 - A Not to quibble, Mr. Kratz, but I think I said he is very suggestible to do that. I used the word, "very." That's the modifier.
 - Q I'm talking about confessions. Are you suggesting that Brendan is more suggestible, uh, or more vulnerable -- you also use the word "vulnerable" -- to providing any confession to a

law enforcement official? 1 2 True. And whether that confession is a true confession 3 4 or whether that confession is a false confession, 5 I believe you've already conceded, uh, is best decided -- in fact, legally, decided by the trier 6 7 of fact. By the jury. 8 I need to correct your que -- your -- your statement. 9 I didn't concede anything. I'm not here to concede. 10 I'm not here to advocate. I'm here to tell what I 11 believe to be the truth based on my evaluation and 12 training. So, maybe --13 Okay. What --14 Α -- if you could restate --15 THE COURT: Here. One at a time. 16 ATTORNEY KRATZ: I'd ask that he answer 17 the question, Judge. If there's a problem with 18 that, we can --19 THE COURT: Doctor Gordon, you're starting 20 to get somewhat argumentative in that answer. 21 Just -- just answer the question that -- that is 22 asked of you. 23 THE WITNESS: May I have the question 24 read back, please?

ATTORNEY KRATZ: Sure.

(Question read back by the reporter.)

THE WITNESS: I'm sorry, there -- I

don't hear the question --

- Q (By Attorney Kratz) I'll ask it one more time.

 Is it your opinion that Brendan Dassey, uh, was more vulnerable than the average person to providing a, um, confession to law enforcement officials?
- 9 A Yes, sir.

- Q Before performing your -- Or at least before -- I -- I understand that the -- the Gudjonsson, um, Suggestibility Scale was the last, uh, test that you spoke of, but, um, before administration of that test of Brendan, were you able to predict those results? That is, by knowing Brendan's IQ and, uh, personality characteristics, were the results of that test predictive for you?
 - A There's a correlation with those other factors. So if I was asked to speculate about what his scores on the Gudjonsson Scale would be, I could speculate but, uh -- because there's a correlation. But, uh, there's a -- it's not a one-to-one correlation, so that everyone that has a low IQ, everyone that has certain personality characteristics automatically scores certain way on the Gudjonsson Scale, that's

not true, and so -- but I -- I can -- I would have some hypotheses.

Okay. We'll get to Gudjonsson in -- in -- in just a minute. But let's talk about a person's actual ability to resist suggestion. Um, would you agree, Doctor, that, um, an individual's, um, observed ability to resist, um, suggestion would be a better measuring tool or better measuring stick, uh, than Gudjonsson or any of your other, um, psychological testing you use in this case?

A Well, I'm not in a position to say what is necessarily better. There are just different tools and different -- it's important to rely on data from a variety of sources. And -- and objective testing is helpful because there are objective norms and standards that you can compare a person to.

But it's important, also, to obtain observed data, interview data, collateral data.

Um, so I could compare it to going fishing. I mean, you could -- it's good to take live bait, it's good to take artificial lures, and, uh -- and not just rely on one or the other because you never know about the day.

Q Well, the problem with the fishing analogy in this case is the jury's going to see Brendan's

- actual interaction with the police; isn't that
 true?
- 3 A That's -- that's -- I don't know what's -- That's my understanding would be the case.
- Correct. That's something that you looked at.

 That was one of the factors that you considered in forming your ultimate opinion; is that true?
- 8 A That's true.
- 9 Q Are you aware, Doctor, that, in this case, not
 10 from some test results, but in this case, on a
 11 number of occasions, Brendan was able, uh, to
 12 resist suggestions by law enforcement officers
 13 when involved in, uh, his interrogation?
- 14 | A Yes.
- Is it your opinion that you considered those, um, instances of, um, where Brendan resisted those efforts by law enforcement?
- 18 A Yes, sir.

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Another factor that you considered -- or at least in your direct examination you indicated that you considered -- was Brendan's recantation. That is, that sometime after his, uh, admission, or his statement of culpability, uh, he recanted that statement. Is that a fair characterization of your testimony?

1 | A I believe so, yes.

- Q Could you explain why it is that a recantation or a retraction of a confession is an important consideration for you?
 - A It's an important consideration in that there's a correlation between coerced confessions and the length of time that the recantation took place. The sooner the recantation, the -- it's correlated with -- the research shows it's correlated with more likelihood that there is -- there was a -- a coerced confession.
 - Q Right. And that's where we need to go back to your opinion. Are you now offering an opinion that this was a coerced confession? You've used that term.
 - A No, that's not for me to decide. Um, I'm talking about the defendant's psychological vulnerability and -- and the likelihood that he was suggestible and offered such a statement. So, it's a factor that I considered.
 - Q Well, it's -- it -- it's a -- it's a factor if we assume or if we presuppose that this was a coerced confession. If it wasn't a coerced confession, recantation really doesn't factor in; isn't that true?

- 1 A True.
- 2 Q So assuming -- Or if we can get the Judge or a
- 3 jury to assume that this was a coerced
- 4 confession, then your placing weight on the
- 5 recantation would have some meaning; is that
- 6 right?
- 7 A Yes, sir.
- 8 Q All right.
- 9 A I'm sorry. I didn't -- I thought you were done with
- 10 the question.
- 11 | Q Are you -- No, I was. Thank you. Are you aware
- of the circumstances under which Brendan
- retracted or recanted his admission or
- 14 confession?
- 15 A If I -- I don't recall at the present time.
- 16 | Q Do you even know if that was his idea or if it
- was the idea of another family member that he
- change his story or change it back to his
- 19 original statement?
- 20 A I would be speculating. Although I -- about the
- 21 dynamics that led to his recantation. If that was
- 22 what -- I mean, he recanted it, and whether it was
- false or true, um -- and I know that there was family
- 24 influence.
- 25 | Q Well, would that be an important consideration?

- Would it be important for you to know if it was
 somebody else's idea or if the genesis of that
 retraction or recantation was from somebody other
 than the subject who was involved in the
 interrogation?
 - A Well, it would be important to know because then I would know -- I would have a better idea of whether it was the -- the person -- the defendant making the recantation. But it also would be important because then it would further provide support to the conclusion that he would be suggestible to other people's influence as well.
- 13 Q I see. So either way it really supports your --
- 14 A It -- it's a --

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- 15 Q -- your opinion then; right?
- 16 A It's a wash either way.
- I see. Are you offering an opinion on the -- And
 we may be quibbling about terms here. But I need
 to know if this is your opinion on the -- what's
 called the reliability of, um, Brendan's
 statements to law enforcement officials?
 - A No, I -- I'm not offering an opinion about that.
 - Q Are you offering an opinion on whether Brendan's statements ought to be believed by anybody, whether it's the Judge or a jury?

- A Absolutely not. And that -- that's even contained in the first page of my report to Mr. Fremgen dated
- the first page of my report to fir. Fremgen duty
- 3 November 15, 2006.

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- Q I'm -- I didn't see the words "ought to be believed."
- 6 A Oh, I -- Okay. It's -- it's implied then. Sorry.
 7 It just clarifies my role.
 - Q Let me just read you, then, Mr. Fremgen's -- And so you don't feel like you're being tricked or set up, I'm going to quote from Mr. Fremgen's offer to this Court.
 - Doctor Gordon is expected to testify
 that based upon the evaluation of the defendant,
 the evaluator's specialized knowledge in the area
 of psychology of confessions, and his review of
 relevant research in this regard, that Brendan's
 Dassey's statements to law enforcement are,
 quote, not reliable and that they are the product
 of significant suggestibility.
- 20 A They might not --
- 21 Q Just so we're sure --
- 22 A They might --
- 23 Q -- you've indicated that is not your opinion; is
- 24 that correct?
- 25 A That's not exactly my opinion.

The decision or the -- the differences between
admissibility of a statement, that is, whether
they should be considered by a jury, you
understand is a function of the Court. That is,
the Court gets to decide whether or not a

statement is, in fact, admissible?

- 7 A That's why we're having this hearing. And he will make the decision, yes.
 - Q Well, the decision on admissibility has already been made. Did you know that?
 - A I did. The suppression hearing took place, and there was a motion to have another suppression, and that was denied.
- Okay. So what makes you think that this hearing is about whether this statement is admissible or not?
- 17 A That was my error. I beg your pardon.
- 18 Q All right. So you understand now that that's already been decided?
- 20 A Yes.

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21 Q And usually the issues of coercion or
22 suggestibility or improper influence or
23 vulnerability go to the issues of whether a jury
24 should hear the statement in the first instance.
25 That is, it goes to the issues of admissibility,

not the weight or the trustworthiness of the statements?

ATTORNEY FREMGEN: Judge, I object. I don't think that's necessarily accurate based upon Jury Instruction 180, which deals with the jury's right to decide whether to believe a statement in whole or in part. And how they come about and get to that point I think is up to the jury.

THE COURT: I'm going to sustain the objection. Also, that it seems to me there's a foundational objection, too. That was a compound, beyond compound, question. I -- we're talking about coercion and vulnerability and too many things. So the objection's sustained.

ATTORNEY KRATZ: All right. I can -- I can certainly, uh, break that into separate components.

- Q (By Attorney Kratz) The decision of whether a statement is coercive to the point of being unlawfully so is a decision for the Court. You understand that?
- 21 A Yes.

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- Q And the trustworthiness, or the reliability, or whether a statement ought to be believed, do you agree is a function for the jury to consider?
- A It's the function of the -- of the judge at the time

of a motion to suppress and -- and at the time of a trial it's the decision of the jury.

- All right. Then, I guess, we've come full circle, Doctor, understanding that reliability is a decision for the jury and something that you're not willing to offer an opinion on. And understanding that, uh, admissibility is a decision for the Court, how is it that you believe that you can assist this jury in the consideration of a fact at issue? That is, how are you going to help this jury yourself?
- A Well, the way it was stated by Mr. Fremgen, when you've talked about reliability, painted it in a very black and white terms, I am here to talk about scientific ways to evaluate a person's psychological vulnerability to respond to leading questions or respond to external pressure.

Uh, I am not an expert regarding police interrogation. That would be a Dr. Richard Leo, attorney, social psychologist and -- and sociologist at the University of California in San Francisco. He would be much more in a position to talk about external factors.

I'm talk -- I'm here to talk to you, or
to the Court, or whoever might want to listen,

uh, about what psychological tests, what history, what research, what a person's social history has to do with the likelihood that they will be suggestible.

- Q I appreciate your answer. The question, though, was how is that going to help the jury? How does that help them decide part of this case? What are you helping them decide?
- A I would help them decide how much weight to place on Brendan Dassey's confession. Uh, they might decide if I -- they find me an incredible witness, to place a hundred percent weight on his confession. Or, if they think that information I provide is proba -- is probative, not prejudicial, assist them, then they might decide to be more cautious in acc -- totally or partially accepting his testimony. That's their job.
- Q If allowed to testify in this trial, Doctor, we will undoubtedly go through the Wechsler -- the 16, uh, PF and, um, in great extent, the MMPI. But for today, I'm going to limit my questions to the -- the Gudjonsson Suggestibility Scale, um, as that appears to be what you called the, uh -- the best measure or best objective test on the issue of suggestibility. You still hold that

- opinion, I assume?
- As long as it's understood that it's one component of a comprehensive, uh, evaluation.
- Q I do. And -- and I'll concede in -- in your
 direct examination you talked about IQ and
 personality characteristics as -- as well. And I
 think --
- 8 A Those are very crucial as well.
- 9 Q And Mr. Gudjonsson, I think, uh, suggests or
 10 mandates the same as well. The, um,
 11 administration of the, uh, Gudjonsson Scale, the
 12 GSS we'll -- we'll call it for, uh -- for short,
 13 as I understand, is intended to mimmick, uh, a
- 15 A That's barely fair. It's making -- it's a very -- simplifying it very much --

police interrogation. Is that -- is that fair?

17 | Q All right.

- 18 A -- but, uh --
- 19 Q I'm sure you're going to --
- 20 A -- so we can facilitate the discussion and have it
 21 flow, I'll say, yes.
- 22 Q Why don't you, uh -- why don't you explain, as
 23 best you can, how this particular test is
 24 designed to replicate or to mimmick a, uh -- a
 25 police interrogation?

- A I'll be glad to do that. An individual is presented a battery of tests so they don't realize that their suggestibility is being tested at that particular point in time. The test is presented as a memory test, and they're told that I'm going to read you a story. This is paraphrasing. I -- I could pull out the manual and give you the exact instructions, but --
- Q Would you -- would you mind, terribly, if I interrupted you as -- as -- as we go along? Is there anything about a police interrogation where a -- a subject is told this is a memory test and not -- not an interrogation?
 - A Uh, I don't believe that -- they -- they might be told different things, but I don't think they're told that.
- 17 Q All right. I -- I'm trying to see the

 18 similarities, but -- but maybe you can point

 19 to -- to those things that are meant to be --
- 20 A Well --

- 21 Q -- similar to an interrogation. I know how the
 22 test works. I've seen your direct. You don't
 23 need to go through all that --
- 24 A Okay.
- 25 | Q -- just how is it similar to an interrogation?

A It's similar in that a person is told a criminal act that allegedly has taken place, and the outcome, and details, and -- and then they are asked to first report their recollection of what they've been read.

- Q Let me stop you there. In your direct examination you omitted that part of the results.

 That is, that is the, um -- the recitation or the, um, uh, the memory part of it. Tell me how -- all you can remember, I think, are -- is probably what Gudjonsson suggests you ask about the story. Uh, how did Brendan do on that part of the test since we never heard about that on direct examination?
- A I did not score that. I -- I recorded his responses to -- in order to administer the test in a way that was in a standardized administration. But those scores are not used. The reporting is not used to obtain quantifiable information designed to assess for the suggestibility part.
- So the ability to accurately recount, um, the story or specific facts of the story, really isn't something that is scored. At least, it isn't scored on a -- where there's norms or where you're able to -- to assign some percentiles; is that correct?

- 1 A True.
- 2 | Q Okay. As I understand, then, after, uh, that
- 3 occurs, the first, um, series of -- Well, I
- 4 apologize. Let me go back. The -- the kind of
- 5 memory, and I assume that you know about memory,
- 6 and -- and how it works, and -- and different
- 7 kinds of memory, do you know what kind of memory
- 8 it's called where you, uh, parrot back or, um,
- 9 recall a story that's been read to you?
- 10 A Well, one that comes immediately to mind, there may
- be others, is recall, or short -- very -- short-term
- memory.
- 13 Q Have you ever heard of the term "semantic
- memory?"
- 15 A No.
- 16 | Q Do you know the differences between semantic
- memory and autobiographical or event kinds of
- 18 memory?
- 19 A No.
- 20 Q Let me ask you, Dr., uh, Gordon, um, if a person
- is asked to remember or recall or describe
- something that's read to them, do they remember
- 23 that differently than an event which they
- 24 actually lived through?
- 25 A Yes.

Q Do you know individuals with Brendan's particular intellectual difficulties, whether they are better able -- significantly better able to recall events that they've actually lived through rather than something that's read to them or book

kind of learning?

- All of us would be able to better remember, unless we're severely traumatized, events that we've been through, uh, but especially a person who, uh, has limited intellectual functioning, then they might be able to better concentrate better remember things they experienced in a multi-sensual very senses way than if they simply heard something read to them.
- Q Well, let -- let me just ask you if you're aware of studies that suggest that, especially individuals with intellectual difficulties, that they are much, much better at event kinds of memory? That is, they have higher accuracy, less tendency to acquiesce, uh, than, um, the semantic or this, um, other kind of memory that you've described after hearing a story. Understand my question?
- 23 A Yes.

- 24 | Q Are you aware of those studies?
 - A I'm not aware of the studies, but, uh, based on my

- 1 knowledge of the area, that would not surprise me.
- 2 | Q Makes sense?
- 3 A Sure.

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And, so, the -- the replication or mimicking an interrogation, would you, um, agree that, especially in an individual with intellectual difficulties, um, that they might be expected to, um, recall in more detail, to be more accurate and, in fact, less susceptible to suggestibility with something that they've lived through rather

than a story that's read to them?

- A If you only consider that factor and not their limited intellectual functioning and limited memory, then the answer would be, yes.
- All right. By the way, the, um -- the raw scores and everything that you've, uh, testified to, um, or about here today, uh, had you heard of or did you, um, comply with, the State's demand or request for that raw data with the information?
- A I was made aware of that request this morning.
- 21 Q Okay. Is that something you can comply with 22 before trial?
 - A Before trial, but under limited conditions. I've purchased those instruments with written agreements that I would not disseminate those -- that

information to anyone other than psychologists or to psychiatrists for the Gudjonsson Inventory that don't have the training in the eyes of the publisher or the developer to have an adequate understanding of the ways to interpret those results. And so I could not — I would be violating what I signed and violating my ethics as a psychologist to reveal the raw data to you.

If, on the other hand, and this is how I've handled this before and on many occasions, if you had a psychologist retained by you, I could send that psychologist my entire file without exception.

- Q That information is just inappropriate or too dangerous in my hands? Is that what you're -- what you're saying?
- A I don't like to say too dangerous. It just might be misused -- misunderstood. Not -- not doubt -- due to any, uh, intention on your part.
- Q I -- I would ask you questions based upon your raw data. You understand that; right?
- 22 A I understand.

- Q I would ask you questions based upon the notes that you took. You understand that?
- 25 | A You can -- Let me clarify. My notes are -- are yours

- 1 to have.
- 2 Q All right.
- 3 A But I'm talking about the test reports. I mean,
- 4 excuse me. The test answer sheets. The test
- 5 questions. That is what I cannot share with you. I
- 6 can share everything else with you, but not that.
- 7 Q That brings me to another, uh -- another
- 8 question. Did you, um, videotape the
- 9 administration of the Gudjonsson Suggestibility
- 10 Scale?
- 11 A No.
- 12 Q Can you tell us why?
- 13 A Well, I've testified probably -- I don't know. You
- can -- Please don't impeach me if I get the wrong
- number from any direct. I've probably testified a
- thousand times or so, and -- and I've done thousands
- 17 of evaluations of different sorts. And it's not
- common practice at all to videotape those
- 19 evaluations.
- 20 And, actually, it would be a deviation
- 21 | from standardized practice to do so. And I
- 22 operate according to a certain protocol and --
- and try to -- my best to have an objective
- evaluation that is fair, straight forward,
- 25 honest, and the results are based on objective

1 | ways of conducting the evaluation.

Am I distorted if that recording was -I've -- if it was going? I've had attorneys ask
to sit in. I say, if you need to sit in, then I
won't do the evaluation. I can respect your need
to do that, but that's not how it's done.

- Q In this case, you got a chance to watch the investigators ask, um, coercive or interrogation kind of questions; isn't that right?
- 10 A I had a chance to --
- 11 | Q That's -- I'm sorry. Go ahead.
- 12 A I had a chance to watch them interrogate, uh, Brendan
 13 Dassey.
- 14 Q Right.

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- A It's -- whether they were coercive, uh, depends on the particular question.
- You understand that the reasons, at least in Wisconsin, that, um, courts and, in fact, the legislature has mandated or required police officers to videotape those kinds of, uh, interrogations and so it removes any question about, uh, how those questions might have been asked or, uh, um, what influences might have been placed upon the -- the subject of that. You understand that?

that question. I don't know if there's been any foundation that this witness knows that that's the law in Wisconsin and whether this witness is aware of the **Young** case. And I'm not even sure it's necessarily relevant for the purposes of this issue about the admissibility or the offer of proof to allow for this person to testify at trial.

THE COURT: I'm going to sustain the objection. There -- there really hasn't been a foundation laid for it. I do have some relevance concerns. If you want to try to lay a foundation and ask if -- Okay.

ATTORNEY KRATZ: I can certainly wait for trial to -- to do that, Judge.

- Q (By Attorney Kratz) I think, uh, uh, you expect us to believe you as to what the answers were.

 That's the bottom line; isn't it?
- A Your job is to question me. Uh, my job is to try to, uh, be as objective, as fair as possible. But whether you believe me, that -- Your job is not to believe me. Your job is to question me. Your job is to cross-examine me.
- Q You'd like this Court to believe that those were

- the answers that Brendan gave; is that right?
- 2 A My --
- Without the benefit of -- of either -- either the raw results or a videotape or something else?
- My hope is that my testimony would help the trier of fact make appropriate decisions and -- and render justice in this case.
- Q Okay. Let's go to the second part of the GSS
 where the subject is provided with, uh, many
 times, leading questions. In fact, most of the
 25 questions, as I understand, are leading
 questions; is that true?
- 13 A That's not true. There are 20 questions total.
- 14 Q Twenty. You said 25 in the direct. I'm sorry.

 15 There's 20 total?
- 16 A All right. I beg your pardon. Well, there are 20 total. My error.
- Q Okay. And of the 20 questions, most of them are leading; is that right?
- 20 A Three-fourths, yes.
- 21 Q And they're meant to gauge whether or not a
 22 subject is willing to acquiesce or to yield to a
 23 particular alternative or to a particular
 24 question; is that true?
- 25 A Yes. They measure the extent which an individual

- 1 yields to leading questions.
- 2 Q Importantly, Doctor, in -- within this test, um,
- 3 some of -- and perhaps you can correct me -- most
- of the questions that are presented are, uh, what
- 5 are called, uh, false alternative leading
- 6 questions; is that true?
- 7 | A I believe -- There are false alternative leading
- questions, but they're not the majority. I -- I
- 9 could come up with a number, if you'd like.
- 10 | Q Well, no, if we -- We're going to probably get a
- more detailed summary at some point. But this
- methodology mandates that the questions don't
- really have a true answer. In other words, it's
- 14 a question like, did the lady have a red hat or a
- 15 blue hat when she may not have had a hat at all.
- 16 Isn't that fair?
- 17 A That's fair. That's one type of question on there,
- 18 | that's true.
- 19 Q All right. How -- how is this test, then,
- 20 similar, or that part of the test, how is it
- 21 similar or how does it replicate or how does it
- 22 mimmick a police interrogation?
- 23 A It would mimmick a police interrogation if a -- if
- 24 leading questions were asked.
- 25 Q Leading false questions; right?

- 1 A Leading --
- 2 Q Leading false alternative questions.
- A Leading false questions or leading true questions or leading false alternative questions.
- Q Really. So, if a subject answers correctly when a true answer is provided, do they get a point
- 7 for yielding?
- 8 A I want to make sure I have the -- your question.
- 9 Q If a leading question is provided to a subject in 10 the GSS, and if one of the answers is a correct
- or a true answer, and the individual correctly
- answers that leading question, are they provided
- a point for yielding?
- 14 A That wouldn't be a leading question if they're asked
- an accurate question.
- 16 Q Really?
- 17 A There are 5 of 20 questions that are not yielding.
- 18 Q That are not leading?
- 19 A I mean not leading.
- 20 Q If I ask you, um, my shirt's blue, isn't it?
- 21 That's a leading question, isn't it?
- 22 | A It is.
- 23 Q And it contains a true statement. In other
- 24 words, if you answered, yes, you'd be answering
- 25 my leading question; isn't that --

- 1 A True.
- 2 | 0 -- true?
- 3 A True.
- If Brendan, or anybody else who takes this test,

 answers a leading question that has the correct

 answer in it truthfully or correctly, do they get

a point for yielding?

8 A No.

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- 9 Q When a police officer, then, asks an individual,
 10 asks a suspect, whether or not they did a certain
 11 act or whether or not they, um, involved
 12 themselves in a crime, even if it was in a
 13 leading way, how does that then replicate or
 14 mimmick the GSS?
 - A It would be similar to your blue shirt, and they would not get a point. It would not -- it would be not leading, according to Gudjonsson's definition, but I could see why you're calling it leading. And they would not get a point on the Gudjonsson test and they would not, uh, be seen as trying to influence the subject.
 - Q Which brings me to my point, then. The import of the Gudjonsson leading questions are that they contain false answers or false alternatives.
- 25 That's true; isn't it?

A That's true.

- 2 Q All right. And, so, the assumption that has to
 3 be made, at least the assumption if this is to be
 4 given any validity, is that the police officers
 5 in an interrogation that this was meant to
 6 mimmick, also have to have provided false
 7 alternatives or false suggestions; isn't that
 8 true?
 - A That's why when you said, does it mimmick, I said that's simplifying it. So, um, that's true. I mean, what you said is true. It -- it -- it doesn't -- it's not -- doesn't totally mimmick a police interrogation.
 - Q By the way -- I -- I'm jumping ahead, but -- but I'm doing it for a point. The, um, results or the opinions that are able to be drawn from the GSS scores include whether an individual is vulnerable to making a false confession; is that true?
 - A That's true.
 - Q Okay. Doctor, are you aware of any problems with the, um -- the methodology of suggesting the very result within a question and then that leading to an ultimate conclusion? Do you understand my question?

- 1 A It's confirmatory bias.
- 2 Q Right. And, in fact, the GSS, you're providing a
- 3 subject with a false statement that, if they
- adopt, you're then able to opine that the person
- is vulnerable to making false statements or false
- 6 confessions; isn't that true?
- 7 A Compared to the norms on which the test is based,
- 8 yes.
- 9 | Q And are you aware of whether the GSS has been
- 10 criticized for that very methodology?
- 11 | A No.
- 12 Q The next part of the test that -- again, correct
- me if I'm wrong -- is meant to mimmick or
- 14 replicate an interrogation is use of mild
- coercion. In fact, it's a, uh, statement of
- 16 disappointment or a statement of, um,
- condemnation as to previous answers that were
- 18 | given; is that true?
- 19 A Everything but the word "condemnation." I think that
- 20 might too strong.
- 21 | Q All right. Something akin to folding your arms,
- 22 telling Brendan, or whoever the subject is, that
- 23 I'm disappointed in your answers, I think you can
- do better, um, and suggest that they try harder
- 25 this time, and then they're asked the same series

- of questions. Is that basically it? 1
- You're -- you're -- Yes, you've made a number of errors. It is, therefore, necessary to go through 3 the questions once more, and this time try -- have you try more accurate -- to be more accurate. 6 it's -- it's not as harsh as -- as you state. But 7 it -- but it does, nonetheless, uh, express the belief that they could do better the next time.
 - All right. And this, um, series of observations Q that you make, then, if, in fact, the subject follows your suggestion and then changes their answer, which is really what it is, is then counted as what's called a shift; is that right?
 - Α True.

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- This shift norm, or the normal score, or the score of that population which was administered this test, as I understand, is two or something just above two; is that right?
- Α That is correct for adult populations. And Brendan is being -- he's still a adolescent, but he's -we're talking about adult court, so it could -different norms could be used -- could be debated that adolescent norms might be more appropriate.
- Q And that population against which Brendan was compared, that norm, if you would, do you know,

- um, what the backgrounds of those individuals
 were or generally what kind of, um, people were
- 3 used to establish these norms?
- 4 A Yes.
- 5 Q Were any of them incarcerated for first degree
- 6 murder? In other words, was this test
- 7 administered to anybody while in jail for first
- 8 degree intentional homicide?
- 9 A I don't know. I'd have to check the manual.
- 10 Q Let me ask you, Dr., uh, Gordon, before
- administering this test to Brendan, did you tell
- 12 him that you were working on his behalf? That
- is, that you were a defense expert?
- 14 A No.
- 15 Q Did he know who you were before you sat down and
- spoke with him?
- 17 A Yes.
- 18 Q How did he know that?
- 19 A Oh, did he know in advance?
- 20 Q Yes.
- 21 A I don't know. Sometime -- In this particular case.
- 22 | Sometimes attorneys tell their client that I'll be
- coming and sometimes they don't tell them. Or maybe
- even -- even if they're told, they don't remember.
- 25 So, I don't know.

Q I -- I guess what I'm getting at is, how did you introduce yourself and how did Brendan know why you were there to speak with him that day?

- A I told him that I was there at the request of his attorney to do a psychological evaluation, that -- and with -- without specific regard to suggestibility was not mentioned.
- Q I understand that. My point is, you had identified yourself with being aligned with the defense team? There on behalf of his defense attorney?
- A No. If you'd let me finish, I -- I could clarify that.

anyways. I don't know if it's, again, relevant for purposes of today's hearing to determine the admissibility or the use of an expert at test -- to testify in -- in this particular area. I understand that it might go to weight and how the weight would be, uh -- how the testimony would be considered by the trier of fact. But that's not the issue. The issue is whether the trier of fact should even be allowed to hear that. Weigh this up to the trier of fact. We should leave that up to them.

THE COURT: Yeah. I -- I'll overrule that

objection. I think this -- this threshold question can be asked. Beyond this, no. Go ahead, you may answer if you remember the question.

THE WITNESS: I don't, Your Honor. I'm sorry.

THE COURT: Can you reask that,
Mr. Kratz --

ATTORNEY KRATZ: Sure.

THE COURT: -- please?

- Q (By Attorney Kratz) I think you were, um, explaining how you identified yourself and why it was that you were there to see Brendan.
- A I explained my purpose of being there with Brendan as no different than I do any other time. I explain who requested that I do the evaluation. That I'm there to do an evaluation. Sometimes, if it's for fitness or some specific reason, then I might tell them, but in regards to suggestibility, that I don't tell them specifically.

I tell them that I'm going to do an overall evaluation at the request of their attorney. That they don't have to answer questions if they don't choose to. That I do a fair and objective evaluation to the best of my ability. I cannot guarantee the results. If the

results are not pleasing or consistent with their case in the eyes of their attorney, they don't have to be used.

And I then talk to them to try to see if they understand my explanation. If they don't, then I try to explain some more. I tell them that I'm -- might be retained by their attorney. I might be paid by their attorney. But I'm there to do an objective, fair, straight forward assessment. And my assessment might be a waste of their money.

- All right. As I understand it, the subject who's the -- or the subject of the -- of the test changes their answer, they're given a -- a point, if you will, for a shift for -- for changing that answer; is that right?
- A True.

- Q What if they change their answer to what would otherwise be the correct answer? That is, uh, if they, uh, said, uh, at first that the lady had a red hat on, and then later, as I gave in my previous example, tells you the lady didn't have any hat on, does he still get a -- a point for shifting?
- A In -- regardless if he shifts from correct to false

- or false to correct, he gets the point for shifting.
- 2 Q All right. Now, in real life, which is really
- 3 why we're here, what is that trying to mimmick?
- In other words, shifting from an incorrect answer
- 5 and then giving a correct answer? Why would that
- 6 be considered something of a negative or sug --
- 7 going to suggestibility or, uh, getting a shift
- 8 score?
- 9 A Because I'm not here to evaluate whether a person's
- 10 telling the truth or not. I'm evaluating whether
- 11 they were susceptible to suggestibility. That is
- also measured by yielding and shifting their
- 13 responses.
- 14 Q In the real world, if a subject of a
- 15 interrogation -- of an interrogation -- denies a
- fact or denies an involvement, and let's assume
- for this question that, um -- that they did that,
- that that's a true thing that they've been asked
- 19 about, and they said, no, I didn't do that,
- officer asks them again or maybe even, um,
- 21 provides them with some, um, evidence that they
- were involved in that, and the person shifts to
- 23 the correct answer or admits that they did it,
- 24 would that be an example, at least with the GSS,
- of being a shift?

- 1 A It would.
- 2 Q Being suggestible?
- A It could. It depends on, also, whether the information supplied by the police officer to the individual is -- is accurate and -- and is known.
- 6 Q Right. I've -- I've asked you to assume that.
 7 But that would still be a shift; wouldn't it --
- 8 A It would.
- 9 0 -- in the GSS?
- 10 A It would. Sorry.
- 11 Q All right. So, the ability to measure somebody's

 12 vulnerability to suggestibility, at least by this

 13 instrument, is not, um, any comment upon the, uh,

 14 truthfulness or, um, falsehood of that statement;

 15 is that correct?
- 16 A That's true.

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- Q We've come full circle again. How, then, does this instrument allow you or anybody else to indicate that a person is more vulnerable to giving a false confession rather than any kind of confession?
- A They're more vulnerable to giving a confession, including a false confession. Also, a true confession, if they have increased scores on the Gudjonsson. Your point's well taken.

1		THE COURT: Any additional questions,
2		Counsel?
3		ATTORNEY KRATZ: Just just one, uh
4		one area, Judge.
5	Q	(By Attorney Kratz) Uh, Dr. Gordon, um, your
6		experience with the administration of the GSS,
7		uh, does it include individuals, or has it ever
8		included individuals, who have been charged with
9		first degree intentional homicide?
10	А	No.
11		ATTORNEY KRATZ: For purposes, then,
12		Judge, of the offer of proof and, um, for
13		purposes that, uh, we will be including in our
14		memorandum of law to the Court, that's all the
15		questions I have of this doctor. Thank you.
16		THE COURT: All right.
17		ATTORNEY FREMGEN: Judge, I'd like to
18		redirect on a few, if the Court will allow me.
19		THE COURT: We had the DVD of
20		approximately three hours plus. All right.
21	E	Limited amount of redirect.
22		ATTORNEY FREMGEN: Just limited. I'll
23		limit to six questions, Judge.
24		THE COURT: I'm going to hold you to that.
25		ATTORNEY FREMGEN: That's fine. I accept

1 that. 2 REDIRECT EXAMINATION BY ATTORNEY FREMGEN: 3 Doctor, you were asked a number of questions 4 about the Gudjonsson Scale of Suggestibility; 5 6 correct? 7 Α Correct. Is that the only tool that you would employ in 8 9 order to get an accurate assessment of a subject 10 for purposes of suggestibility? Α Absolutely not. 11 12 The other tests, themselves, that you conducted, Q were those conducted in -- in -- in an effort to 13 obtain a more comprehensive assessment? 14 15 Yes. And is there a purpose to take -- to, uh -- to, 16 17 uh, conducting more than one test in order to, 18 uh, assess a person's vulnerability to 19 suggestion? 20 Yes. Α 21 What -- what is that purpose? 22 Well, the different tests that I administered measure Α 23 different psychological factors in terms of a 24 person's intellectual and emotional functioning. 25 And, so, if I just relied on one test, then I might

not be measuring their intellectual functioning, or if I just focused on a couple of tests, I might just focus on their intellectual functioning and not their personality characteristics or their suggestibility on a suggestibility scale.

- So, hypothetically, if one were, um -- had scores that were high scores on GSS, but yet had, um, test results that indicated that they are socially ept and have high IQ, maybe high --
- A So --

- Q -- functioning, would -- would that, then, lead you to a different conclusion using the GSS?
 - A It very well would lead me to a different conclusion.

 That's hard to -- that's a hypothetical. I -- I

 would still need to consider the entire evaluation.

I can tell you there's a correlation

between the GSS, the personality scores that -
the personality scores from tests I used, as well

as IQ scores, as well as criminal behavior, as

well as age, etc. So there's a correlation, but

it doesn't -- the correlation, again, like I said

on direct to Mr. Kratz, is not one-to-one. So

there -- there could be exceptions to that rule.

THE WITNESS: That's a long answer. I'm sorry, Judge.

(By Attorney Fremgen) My last question, then, to 1 kind of wrap this up. So, essentially you're 2 attempting to obtain, uh, sufficient data to 3 assess all of the psychological factors in the psychological environment that affects a 5 subject's potential vulnerability to suggest --6 to suggestibility? 7 Within a limited amount of time and limited 8 9 resources, I mean, uh -- Yes. 10 ATTORNEY FREMGEN: Okay. Thank you, very 11 much. 12 THE COURT: All right. Uh, you may step 13 down.

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THE WITNESS: Thank you, Your Honor.

ATTORNEY KRATZ: Before Dr., uh, Gordon leaves, Judge, I am going to renew my demand for Dr. Gordon's file despite, uh, Dr. Gordon's, uh, apprehension regarding release of that information.

> THE COURT: You may step down.

ATTORNEY KRATZ: Um, if, uh, in fact, the Court does allow Dr. Gordon to testify, the State certainly is entitled to, uh, discovery, which includes the file, those raw materials, notes, and whatever other information may be

contained in that file. I appreciate

Dr. Gordon's (inaudible) assure that I will be sharing it with somebody other than myself.

Again, this is only if the Court allows that, and perhaps the Court can issue a ruling at a later time. But, uh, at the very least would ask the Court order Dr., uh, Gordon not to destroy or, um, uh, discard anything that may currently be in his file so that, if, in fact, the Court, uh, provides a favorable ruling to the State, uh, at some point, we are able to get all that raw material.

THE COURT: The Court will so order.

Dr. Gordon, you heard that, did you not? You are not to destroy any of the notes or materials that comprise this file. By this file, I mean the file -- the complete file on the, uh, interview and testing of this defendant.

THE WITNESS: I would never do that,

Your Honor, in any case, unless it was over seven

years old or whatever the statutory requirements

permits.

THE COURT: All right. Um, the Court has scheduled April 5 at 9:00 a.m. as a time to hear the remaining motions. Uh, the -- counsel are aware

1	that they have until April 2, to if they intend
2	to have briefs brief their positions get that
3	brief into the Court. Uh, I ask each of them to
4	prepare a proposed order reflecting their position
5	based on the testimony here, as well as the DVDs,
6	and the, uh the applicable case law.
7	Uh, at that time the Court on
8	April 5, the Court, in considering the other
9	motions, will announce a decision on this one as
10	well. Anything else, gentlemen?
11	ATTORNEY KRATZ: Not for today. Thank you,
12	Judge.
13	THE COURT: All right. Can I see you
14	Oop.
15	THE CLERK: Exhibits 1 and 2. Do you have
16	those?
17	THE COURT: I do.
18	THE CLERK: Are are they received, then?
19	THE COURT: They are received. Uh, with
20	the with the caveat, Exhibit 2, the second
21	DVD, appears not to work all the way through.
22	Uh, I trust Counsel has no objection to me
23	substituting a workable one?
24	ATTORNEY FREMGEN: That's fine. If, uh,
25	Mr. Kratz has no objection, I'll send you my

1	second
2	THE COURT: All right.
3	ATTORNEY FREMGEN: Mr. Edelstein has a copy
4	already.
5	THE COURT: Very good. Uh, see counsel in
6	chambers for a brief meeting?
7	ATTORNEY KRATZ: Thank you, Judge.
8	ATTORNEY FREMGEN: Thanks.
9	THE COURT: We're adjourned.
10	(PROCEEDINGS CONCLUDED.)
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STATE OF WISCONSIN)SS. COUNTY OF MANITOWOC)

I, Jennifer K. Hau, Official Court

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Reporter for Circuit Court Branch 3 and the State of Wisconsin, do hereby certify that I reported the foregoing matter and that the foregoing transcript has been carefully prepared by me with my computerized stenographic notes as taken by me in machine shorthand, and by computer-assisted transcription thereafter transcribed, and that it is a true and correct transcript of the proceedings had in said matter to the best of my knowledge and ability.

Dated this 3rd day of April, 2007.

Official Court Reporter